

FORM PTO-1390 US DEPARTMENT OF COMMERCE
REV. 5-93 PATENT AND TRADEMARK OFFICE

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEYS DOCKET NUMBER
P01,0184

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.52) **09/868239**

INTERNATIONAL APPLICATION NO.
PCT/DE99/03955

INTERNATIONAL FILING DATE
10 DECEMBER 1999

PRIORITY DATE CLAIMED
16 DECEMBER 1998

TITLE OF INVENTION

**METHOD AND ARRANGEMENT FOR PREDICTING MEASUREMENT DATA
USING GIVEN MEASUREMENT DATA**

APPLICANT(S) FOR DO/EO/US

THOMAS ACKERMANN ET AL.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)) - **UNSIGNED**.
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (PTO 1449, Prior Art, Search Report, References).
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.
(SEE ATTACHED ENVELOPE)
13. ☒ Amendment "A" Prior to Action and Appendix "A".
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☒ A substitute specification and mark-up for substitute specification.
15. ☐ A change of address letter attached to the Declaration.
16. ☒ Other items or information:
 - a. ☒ Submission of Drawings, 4 sheets of drawings, Figures 1-5.
 - b. ☒ EXPRESS MAIL #EL 843728773 US dated June 15, 2001.

JC18 Rec'd PCT/PTO 1 5 JUN 2001

U.S. APPLICATION NO. (if known) 37 C.F.R. 1.53

097/868239

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ATTORNEY'S DOCKET NUMBER
P01,0184

17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):

Search Report has been prepared by the EPO or JPO \$860.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) \$690.00

No international preliminary examination fee paid to USPTO (37 C.F.R. 1.482) but international search fee paid to USPTO (37 C.F.R. 1.445(a)(2)) \$710.00

Neither international preliminary examination fee (37 C.F.R. 1.482) nor international search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO \$1000.00

International preliminary examination fee paid to USPTO (37 C.F.R. 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS

PTO USE ONLY

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$

Claims

Number Filed

Number
Extra

Rate

Total Claims

09

- 20 =

0

X \$ 18.00

\$

Independent Claims

04

- 3 =

1

X \$ 80.00

\$ 80.00

Multiple Dependent Claims

\$270.00 +

\$

TOTAL OF ABOVE CALCULATIONS =

\$ 940.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)

\$

SUBTOTAL =

\$ 940.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +

\$

TOTAL NATIONAL FEE =

\$ 940.00

Fee for recording the enclosed assignment (37 C.F.R. 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED =

\$ 940.00

Amount to be
refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 940.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1519. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

SCHIFF HARDIN & WAITE
PATENT DEPARTMENT
6600 South Wer
233 South Wacker Drive
Chicago, Illinois 60606-6473

CUSTOMER NUMBER 26574

SIGNATURE

Mark Bergner
NAME

45,877

Registration Number

09/868239

JCT Rec'd PCT/PTO 1 5 JUN 2001

BOX PCT
IN THE UNITED STATES DESIGNATED/ELECTED OFFICE
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

SUBMISSION OF DRAWINGS

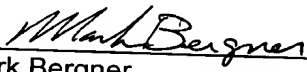
APPLICANT(S): Thomas ACKERMANN et al
ATTORNEY DOCKET NO.: P01,0184
INTERNATIONAL APPLICATION NO: PCT/DE99/03955
INTERNATIONAL FILING DATE: 10 December 1999
INVENTION: METHOD AND ARRANGEMENT FOR PREDICTING
MEASUREMENT DATA USING GIVEN MEASUREMENT DATA

Assistant Commissioner for Patents,
Washington, D.C. 20231

Sir:

Enclosed are 4 sheets of drawings (Figures 1-5) for the above-identified
PCT application.

Submitted by,


Mark Bergner (Reg. No. 45,877)
SCHIFF HARDIN & WAITE
PATENT DEPARTMENT
6600 Sears Tower
Chicago, Illinois 60606-6473
(312) 258-5779
Attorney for Applicant(s)

CUSTOMER NUMBER 26574